

10/60/80  
JCS 25  
PTO  
08/09/013 928173  
U.S. PTO  
08/09/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for nonprovisional applications under 37 CFR § 1.53(b))

|  |  |                       |
|--|--|-----------------------|
| Attorney Docket No.                      | 500084.05  | August 9, 2001        |
| First Inventor or Application Identifier |  | Trung Tri Doan et al. |
| Title                                    | METHOD AND APPARATUS FOR RELEASABLY ATTACHING A POLISHING PAD TO A CHEMICAL-MECHANICAL PLANARIZATION MACHINE |                       |
| Express Mail Label No.                   |  | EL 872038815 US       |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Sheet (+ copy)  
(Submit an original and a duplicate for fee processing)2.  Applicant(s) Claim(s) Small Entity Status3.  Specification [Total Pages]  
(preferred arrangement set forth below)

35

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets]

10

5.  Oath or Declaration [Total Pages]

2

a.  Newly executed (original or copy)b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

- i.  DELETION OF INVENTOR(S)
- Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet (37 C.F.R. 1.76)**ADDRESS TO:**Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a.  Computer-Readable Form (CRF)

b.  Specification Sequence Listing on:
 

- i.  CD-ROM or CD-R (2 copies);
- ii.  paper

c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Certification Under 35 U.S.C. 122(b)(2)(B)(i)

10.  Assignment Papers (cover sheet & document(s))

11.  37 CFR 3.73(b) Statement (when there is an assignee)  Power of Attorney

12.  English Translation Document (if applicable)

13.  Preliminary Amendment Total Pages 2

14.  Return Receipt Postcard

15.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

16.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

17.  Other: Certificate of Express Mail Check

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

Continuation  Divisional  Continuation-In-Part (CIP) of prior Application No.: 09/539,854 Filed March 31, 2000 Filed October 28, 1998  
which is a divisional of No.: 09/181,578

Prior application information: Examiner Eileen P. Morgan Group / Art Unit 3700/3723 Incorporation By Reference (useable if box 5b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**CORRESPONDENCE ADDRESS**

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Dorsey & Whitney LLP  
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**Customer No. 27,076**TYPED or PRINTED NAME Steven H. ArterberryREGISTRATION NO. 46,314SIGNATURE Steven ArterberryDate 8/9/01

# FEE TRANSMITTAL SHEET (FOR FY 2001)

| Complete if Known    |                       |
|----------------------|-----------------------|
| Express Mail No.     | EL 872038815 US       |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | Trung Tri Doan et al. |
| Group Art Unit       | Not Yet Assigned      |
| Examiner             | Not Yet Assigned      |
| Atty. Docket Number  | 500084.05             |

## METHOD OF PAYMENT (Check One)

1.  The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2.  Check Enclosed

## FEE CALCULATION

### 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description | Fee paid                        |  |    |
|---------------------|--------------|-----------------|---------------------------------|--|----|
| Fee Code            | Fee (\$)     | Fee Code        | Fee (\$)                        |  |    |
| 105                 | 130          | 205             | 65                              | Surcharge - Late filing fee or oath  | \$ |
| 127                 | 50           | 227             | 25                              | Surcharge - late provisional filing fee or cover sheet                     | \$ |
| 130                 | 139          | 139             | 130                             | Non-English specification  | \$ |
| 139                 | 2,520        | 147             | 2,520                           | For Filing a Request for Reexamination                                     | \$ |
| 147                 | 115          | 215             | 55                              | Extension for reply within first month                                     | \$ |
| 116                 | 390          | 216             | 195                             | Extension for reply within 2 <sup>nd</sup> month                           | \$ |
| 117                 | 890          | 217             | 445                             | Extension for reply within 3 <sup>rd</sup> month                           | \$ |
| 118                 | 1,390        | 218             | 695                             | Extension for reply within 4 <sup>th</sup> month                           | \$ |
| 128                 | 1,890        | 280             | 945                             | Extension for reply within 5 <sup>th</sup> month                           | \$ |
| 120                 | 310          | 220             | 155                             | Filing a brief in support of an appeal                                     | \$ |
| 121                 | 260          | 270             | 135                             | Request for oral hearing   | \$ |
| 148                 | 110          | 248             | 55                              | Terminal Disclaimer Fee  | \$ |
| 149                 | 10           | 249             | 55                              | Petition to revive – unavoidable   | \$ |
| 147                 | 1,240        | 241             | 620                             | Petition to revive – unintentional   | \$ |
| 142                 | 1,240        | 242             | 620                             | Utility/Reissue issue fee (+ advance copies)                               | \$ |
| 143                 | 440          | 243             | 220                             | Design issue fee (+ advance copies)  | \$ |
| 122                 | 130          | 122             | 130                             | Petitions to the Commissioner  | \$ |
| 123                 | 50           | 123             | 50                              | Petitions related to provisional applications                              | \$ |
| 126                 | 180          | 126             | 180                             | Submission of IDS  | \$ |
| 581                 | 40           | 81              | 40                              | Recording each patent assignment per property (times number of properties) | \$ |
| 179                 | 710          | 279             | 355                             | Request for Continued Examination (RCE)                                    | \$ |
| Other fee (specify) |              |                 |                                 |  |    |
|                     |              |                 | Subtotal (3) \$ -0-             |  |    |
|                     |              |                 | Total Amount of Payment: \$ 710 |  |    |

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description                   |
|--------------|--------------|-----------------------------------|
| Fee Code     | Fee (\$)     | Fee Code                          |
| 101          | 710          | 201 355 [X] Utility Filing Fee    |
| 106          | 320          | 206 160 [ ] Design Filing Fee     |
| 108          | 710          | 208 355 [ ] Reissue Filing Fee    |
| 114          | 150          | 214 75 [ ] Provisional Filing Fee |
| Subtotal (1) |              | \$ 710                            |

### 2. EXTRA CLAIM FEES

| Current Claims             | Prior | Extra | Fee       | Fee Paid       |
|----------------------------|-------|-------|-----------|----------------|
| Total                      | 6     | - 20  | = 0       | x \$ 18 = \$ 0 |
| Ind.                       | 1     | - 3   | = 0       | x \$ = \$ 0    |
| IMultiple Dependent Claims |       |       | x \$ = \$ |                |
| Subtotal (2)               |       |       | \$ 0      |                |

| Large Entity | Small Entity | Fee Description   |
|--------------|--------------|---|
| Fee Code     | Fee (\$)     | Fee Code  |
| 103          | 18           | 203 9 Claims in excess of 20                                  |
| 102          | 80           | 202 40 Independent claims in excess of 3                      |
| 104          | 270          | 204 135 Multiple dependent Claim                              |
| 109          | 80           | 209 40 Reissue independent claims over original patent        |
| 110          | 18           | 210 9 Reissue claims in excess of 20 and over original patent |

Submitted by:

|  |                  |                           |
|--|------------------|---------------------------|
| Name: Steven H. Arterberry   | Reg. No.: 46,314 | Telephone: (206) 903-8800 |
| Signature:  |                  | Date: 8/9/01              |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Trung Tri Doan and  
Scott E. Moore

Attorney Docket No.: 500084.05

Filed : August 9, 2001

Title : METHOD AND APPARATUS FOR RELEASABLY ATTACHING A  
POLISHING PAD TO A CHEMICAL-MECHANICAL PLANARIZATION  
MACHINE**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

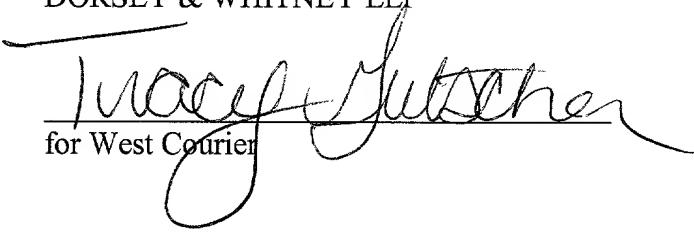
Box Patent Application  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 872038815 US, on August 9, 2001, addressed to Box Patent Application, Commissioner of Patents, Washington, DC 20231.

Respectfully submitted,

DORSEY &amp; WHITNEY LLP

  
for West Courier

SHA:tdg

Enclosures:

Postcard  
Check  
Form PTO/SB/05  
Application Data Sheet  
Fee Transmittal Sheet (+ copy)  
General Authorization  
Preliminary Amendment  
Copy of Previously Filed Specification, Claims, Abstract (35 pages)  
Copy of 10 Sheets of Drawings (Figures 1-8)  
Copy of Declaration  
Copy of Election and Power of Attorney  
Copy of Revocation and Substitute Power of Attorney  
Information Disclosure Statement, Form PTO-1449